

Minimal operations over permutation groups

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Outline

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- Clones

- Minimality

- Previous results

② Minimal operations above permutation groups

- Main Theorem

- The minimal operations

- Almost minimal operations

③ Applications to CSPs

④ Bibliography

Clones

Definition 1 (Clone)

Let B be a (possibly infinite) set.

Let $\mathcal{O}^{(n)} = B^{B^n}$ be the set of functions $f : B^n \rightarrow B$, and

$\mathcal{O} := \bigcup_{n \in \mathbb{N}} \mathcal{O}^{(n)}$.

We call $\mathcal{C} \subseteq \mathcal{O}$ a (function) **clone** over B if

- \mathcal{C} contains all projections;
- \mathcal{C} is closed under composition.

For $\mathcal{S} \subseteq \mathcal{O}$, $\langle \mathcal{S} \rangle$ is the smallest clone containing \mathcal{S} .

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Closed clones

Interested in clones which are **closed** in the **pointwise convergence topology**:¹ For $\mathcal{S} \subseteq \mathcal{O}$,

$f \in \overline{\mathcal{S}} \Leftrightarrow$ for all $A \subseteq B$ finite there is $g \in \mathcal{S}$ such that $g|_A = f|_A$.

For B finite, topology trivialises (i.e. closed clone=clone).

$\overline{\langle \mathcal{S} \rangle}$ denotes the smallest closed clone containing \mathcal{S} .

There is a correspondence between:

- closed clones on B ;
- polymorphism clones of relational structures on B .

► Definition of polymorphism clone

¹If you do not like topology, do not worry! Our main results are also true (and sometimes nicer) without the topology.

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Monoidal intervals

Let \mathcal{T} be a **transformation monoid** on B
(i.e. unary operations containing Id , and closed under composition).

Closed clones whose unary operations are $\overline{\mathcal{T}}$ form an interval in the lattice of closed clones on B , known as the **monoidal interval of \mathcal{T}** .

Studying the structure and size of monoidal intervals has a long history in universal algebra:

- for $\mathcal{O}_B^{(1)}$ (Burle 1967);
- for $G \curvearrowright B$ a permutation group (Pálffy and Szendrei 1982; Kearnes and Szendrei 2001) (with focus on collapse);
- for other monoids (Krokhin 1995);
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Minimal operations

What is the minimal amount of structure in a clone containing \mathcal{T} ?

Definition 2 (Minimal clone)

Let $\mathcal{D} \supsetneq \mathcal{C}$ be closed clones.

\mathcal{D} is **minimal above** \mathcal{C} if there is no closed clone \mathcal{E} such that $\mathcal{C} \subsetneq \mathcal{E} \subsetneq \mathcal{D}$.

Definition 3 (almost minimal and minimal operations)

The k -ary operation $f \in \mathcal{O} \setminus \mathcal{C}$ is **almost minimal above** \mathcal{C} if for each $r < k$,

$$\overline{\langle \mathcal{C} \cup \{f\} \rangle} \cap \mathcal{O}^{(r)} = \mathcal{C} \cap \mathcal{O}^{(r)}.$$

If f is almost minimal above \mathcal{C} and $\overline{\langle \mathcal{C} \cup \{f\} \rangle}$ is minimal above \mathcal{C} , then f is **minimal above** \mathcal{C} .

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Basic facts on minimality and almost minimality

- \mathcal{D} is minimal above \mathcal{C} if and only if $\mathcal{D} = \overline{\langle \mathcal{C} \cup \{f\} \rangle}$ for f minimal above \mathcal{C} ;
- minimal elements in the interval of \mathcal{T} above $\overline{\langle \mathcal{T} \rangle}$ correspond to minimal clones above $\overline{\langle \mathcal{T} \rangle}$ which are not essentially unary²;
- ALWAYS, if $\mathcal{E} \supsetneq \mathcal{C}$, there is $f \in \mathcal{E} \setminus \mathcal{C}$ almost minimal above \mathcal{C} ;

We will study minimal operations above $\overline{\langle G \rangle}$ for $G \curvearrowright B$ a non-trivial permutation group.

² f is **essentially unary** if it depends on only one variable.
Otherwise, it is **essential**.

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Oligomorphic permutation groups

We are particularly interested in oligomorphic permutation groups:

Definition 4 (Oligomorphicity, ω -categoricity)

B countably infinite. $G \curvearrowright B$ is **oligomorphic** if $G \curvearrowright B^n$ has finitely many orbits for each $n \in \mathbb{N}$;

A first-order structure \mathbb{B} is **ω -categorical** if $\text{Aut}(\mathbb{B}) \curvearrowright B$ is oligomorphic.

Examples of ω -categorical structures:

- $(\mathbb{N}, =)$;
- $(\mathbb{Q}, <)$;
- The Random graph;
- Countable vector spaces over finite fields.

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Fact 5 (Existence of minimal operations)

Let $\mathcal{C} \subsetneq \mathcal{D}$ be closed function clones. Suppose either

- B is finite; or
- $\mathcal{C} = \overline{\langle \text{Aut}(\mathbb{B}) \rangle}$ for \mathbb{B} ω -categorical in a finite relational language.

Then, there is $\mathcal{E} \subseteq \mathcal{D}$ which is minimal above \mathcal{C} .

Note: in general, this can fail when B is infinite.

Rosenberg's five types theorem

Theorem 6 (Five types theorem, Rosenberg 1986)

Let B be finite and f be minimal above $\langle \text{Id} \rangle$. Then, f is one of:

- ① *a unary operation;*
- ② *a binary operation;*
- ③ *a ternary majority operation;*
- ④ *a minority of the form $x + y + z$ in some Boolean group $(B, +)$;*
- ⑤ *a k -ary semiprojection for some $k \geq 3$.*

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Ternary majority: an operation $m : B^3 \rightarrow B$ such that

$$m(x, x, y) \approx m(x, y, x) \approx m(y, x, x) \approx m(x, x, x) \approx x;$$

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A group is **Boolean** if every non-identity element has order 2.

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Semiprojection: $f : B^k \rightarrow B$ such that there is an $i \in \{1, \dots, k\}$ such that whenever (a_1, \dots, a_k) is a **non-injective tuple** from B ,

$$f(a_1, \dots, a_k) = a_i.$$

The oligomorphic case

Bodirsky and Chen 2007 classify minimal operations above oligomorphic permutation groups.

Theorem 7 (Oligomorphic case, Bodirsky and Chen 2007)

Let $G \curvearrowright B$ be an oligomorphic permutation group.

Let f be minimal above $\overline{\langle G \rangle}$. Then, f is one of:

- ① *a unary operation;*
- ② *a binary operation;*
- ③ *a ternary quasi-majority operation;*
- ④ *a k -ary quasi-semiprojection for some $3 \leq k \leq 2r - s$, where r is the number of G -orbitals and s is the number of G -orbits.*

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Ternary quasi-majority:³ an operation $m : \mathbb{B}^3 \rightarrow \mathbb{B}$ such that

$$m(x, x, y) \approx m(x, y, x) \approx m(y, x, x) \approx m(x, x, x) \approx x;$$

³“quasi”:= we don't ask that $f(x, \dots, x) \approx x$.

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Quasi-semiprojection: $f : B^k \rightarrow B$ such that there is an $i \in \{1, \dots, k\}$ and $g \in \overline{G}$ such that whenever (a_1, \dots, a_k) is a non-injective tuple,

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Note: No minority type!

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We obtain better results even in this case!

The Main Theorem

Theorem 8 (Minimal operation, MP 2024)

Let $G \curvearrowright B$ be non-trivial with s many orbits (s possibly infinite). Let f be a minimal operation above $\overline{\langle G \rangle}$. Then, f is one of:

- ① a unary operation;
- ② a binary operation;
- ③ a ternary quasi-minority operation of the form αq for $\alpha \in G$, where
 - G is a Boolean group acting freely on B with $s = 2^n$ or infinite;
 - the operation q is a *G -invariant Boolean Steiner 3-quasigroup*.
- ④ f is a k -ary *orbit-semiprojection* for $3 \leq k \leq s$.

- type ③ strengthens quasi-minority. Essentially never occurs;
- type ④ strengthens quasi-semiprojection. Arity bounded by orbits;
- *No quasi-majorities!*

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In the oligomorphic case we improve on Bodirsky and Chen 2007:

- We reduced from four to three types ($G \curvearrowright B$ is not free);
- Stronger characterisation of the quasi-semiprojection case.

G -invariant Boolean Steiner 3-quasigroups

Definition 9

A G -invariant Boolean Steiner 3-quasigroup³ is a symmetric ternary minority operation q satisfying:

$$q(x, y, q(x, y, z)) \approx z ; \quad (\text{SQS})$$

$$q(x, y, q(z, y, w)) \approx q(x, z, w) ; \quad (\text{Bool})$$

$$\text{for all } \alpha, \beta, \gamma \in G, q(\alpha x, \beta y, \gamma z) \approx \alpha \beta \gamma q(x, y, z). \quad (\text{Inv})$$

³Studied in universal algebra (Quackenbush 1975; Ganter and Werner 1975) and design theory (Lindner and Rosa 1978).

G -invariant Boolean Steiner 3-quasigroups

Definition 9

A G -invariant Boolean Steiner 3-quasigroup³ is a symmetric ternary minority operation q satisfying:

$$q(x, y, q(x, y, z)) \approx z ; \quad (\text{SQS})$$

$$q(x, y, q(z, y, w)) \approx q(x, z, w) ; \quad (\text{Bool})$$

$$\text{for all } \alpha, \beta, \gamma \in G, q(\alpha x, \beta y, \gamma z) \approx \alpha \beta \gamma q(x, y, z). \quad (\text{Inv})$$

(SQS) yields that $q(x_1, x_2, x_3) = x_4 \wedge \bigwedge_{i < j \leq 4} x_i \neq x_j$ is a **Steiner quadruple system** on B : a 4-hypergraph on B such that every three vertices are in a unique 4-hyperedge.

Steiner 3-quasigroups correspond to Steiner quadruple systems on B .

³Studied in universal algebra (Quackenbush 1975; Ganter and Werner 1975) and design theory (Lindner and Rosa 1978).

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Correspond to $x + y + z$ on a Boolean group on B .

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$q(x, y, z)$ also induces a Boolean Steiner 3-quasigroup on the G -orbits.

Description of minimal minorities

Theorem 10 (Description of minimal minorities, MP 2024)

Let $G \curvearrowright B$ be a Boolean group acting freely on B with s many orbits.

- All G -invariant Boolean Steiner 3-quasigroups are minimal above $\overline{\langle G \rangle}$;
- There are G -invariant Boolean Steiner 3-quasigroups if and only if $s = 2^n$ for some $n \in \mathbb{N}$, or is infinite;
- For $s = 2^n$, the number of G -invariant Boolean Steiner 3-quasigroups is 1 for $n = 0$, and for $n \geq 1$ it is

$$\frac{(2^n - 1)! |G|^{(2^n - n - 1)}}{\prod_{k=0}^{n-1} (2^n - 2^k)}.$$

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Counting G -invariant Boolean Steiner 3-quasigroups requires solving a small problem in projective geometry.

Orbit-semiprojections

f is an **orbit-semiprojection** if there is an $i \in \{1, \dots, k\}$ and $g \in \overline{G}$ such that whenever at least two of the a_j lie in the same orbit,

$$f(a_1, \dots, a_k) = g(a_i).$$

- Almost minimal k -ary orbit-semiprojections exist for all $2 \leq k \leq s$;
- Pálffy 1986: k -ary semiprojections minimal above $\langle \text{Id} \rangle$ exist for each $2 \leq k \leq |B|$.

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Theorem 11 (Pálffy's theorem for orbit-semiprojections, MP 2024)

Let $G \curvearrowright B$ with s -many orbits be finite or oligomorphic. Then, for all $2 \leq k \leq s$, there is a k -ary orbit-semiprojection minimal above $\overline{\langle G \rangle}$.

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When G is finite (non-trivial), taking $b \in B$ in an orbit of size > 1 , let

$$f(a_1, \dots, a_k) := \begin{cases} b & \text{if } a_1 \sim b \text{ and } a_1, \dots, a_k \text{ are all in distinct orbits;} \\ a_1 & \text{otherwise.} \end{cases}$$

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When $G \curvearrowright B$ is oligomorphic, taking $b \in B$ in an orbit of size > 1 , letting γ belong to a minimal closed $(\overline{G}_b, \overline{G})$ -biact,³

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³A $(\overline{G}_b, \overline{G})$ -biact is a set $\mathcal{I} \subseteq \overline{G}$ such that $\overline{G}_b \mathcal{I} \overline{G} \subseteq \mathcal{I}$

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- always holds for minimality in the lattice of *all* clones (rather than closed clones);
- **Problem:** Find $G \curvearrowright B$ with three orbits such that there is no ternary orbit-semiprojection minimal above $\overline{\langle G \rangle}$.

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Methods: almost minimality

To classify minimal operations, we first classify **almost minimal** operations above $\overline{\langle G \rangle}$:

- Start from a weak version of Rosenberg's Theorem for almost minimal operations above a monoid without constant operations (implicit in Bodirsky and Chen 2007);
- Show that certain behaviours cannot be witnessed by almost minimal operations since they give rise to non-injective unary operations.

This splits into three cases:

- $G \curvearrowright B$ not a Boolean group acting freely on B ;
- $G \curvearrowright B$ a Boolean group acting freely on B with $|G| > 2$;
- $\mathbb{Z}_2 \curvearrowright B$ acting freely.

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Case1: The three types

Lemma 12 (Three types lemma, MP 2024)

Let $G \curvearrowright B$ with s -many orbits be such that G is not a Boolean group acting freely on B . Let f be *almost minimal* above $\overline{\langle G \rangle}$. Then, f is one of:

- ① a unary operation;
- ② a binary operation;
- ③ a k -ary orbit-semiprojection for $3 \leq k \leq s$.

Case 2: the Boolean case

Lemma 13 (Boolean case, MP 2024)

Let $G \curvearrowright B$ be a Boolean group acting freely on B with s -many orbits and $|G| > 2$. Let f be an almost minimal operation above $\langle G \rangle$. Then, f is one of:

- ① a unary operation;
- ② a binary operation;
- ③ a G -quasi-minority;
- ④ a k -ary orbit-semiprojection for $3 \leq k \leq s$.

A G -quasi-minority is a ternary operation such that for all $\beta \in G$,

$$\mathfrak{m}(y, x, \beta x) \approx \mathfrak{m}(x, \beta x, y) \approx \mathfrak{m}(x, y, \beta x) \approx \mathfrak{m}(\beta y, \beta y, \beta y).$$

Case 3: the \mathbb{Z}_2 case

Lemma 14 (\mathbb{Z}_2 case, MP 2024)

Let \mathbb{Z}_2 act freely on B with s -many orbits. Let f be an almost minimal operation above $\langle \mathbb{Z}_2 \rangle$. Then, f is one of

- 1 a unary operation;
- 2 a G -quasi-minority;
- 3 an odd majority;
- 4 an odd Malcev, up to permuting variables;
- 5 a k -ary orbit-semiprojection for $2 \leq k \leq s$.

An **odd majority** m is a ternary quasi-majority such that for $\gamma \neq \text{Id}$ in \mathbb{Z}_2 ,

$$m(y, x, \gamma x) \approx m(x, \gamma x, y) \approx m(x, y, \gamma x) \approx m(y, y, y).$$

An **odd Malcev** is such that $M(x, \gamma y, z)$ is an odd majority.

Odd majorities and odd Malcev cannot be minimal!

Motivation from CSPs

τ := finite relational language.

\mathbb{B} := a fixed τ -structure.

Definition 15 ($\text{CSP}(\mathbb{B})$)

$\text{CSP}(\mathbb{B})$ is the following computational problem:

- **INPUT:** A finite τ -structure \mathbb{A} ;
- **OUTPUT:** Is there a homomorphism $\mathbb{A} \rightarrow \mathbb{B}$?

We study $\text{CSP}(\mathbb{B})$ for \mathbb{B} finite or ω -categorical.

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The algebraic approach to CSPs

Algebraic approach to CSPs:

The polymorphisms of \mathbb{B} capture the computational complexity of $\text{CSP}(\mathbb{B})$.

Highly successful in the finite setting:

Theorem 16 (Bulatov 2017; Zhuk 2017)

Let \mathbb{B} be finite. Then:

- *EITHER \mathbb{B} has a Siggers polymorphism.³
In this case, $\text{CSP}(\mathbb{B})$ is in P ;*
- *OR \mathbb{B} “pp-constructs” EVERYTHING (i.e., all finite structures)
In this case, $\text{CSP}(\mathbb{B})$ is NP-complete.*

³A polymorphism $s : \mathbb{B}^6 \rightarrow \mathbb{B}$ such that

$$s(x, y, x, z, y, z) \approx s(y, x, z, x, z, y).$$

The algebraic approach to CSPs

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The polymorphisms of \mathbb{B} capture the computational complexity of $\text{CSP}(\mathbb{B})$.

Often successful for \mathbb{B} ω -categorical: complexity dichotomies for CSPs of structures first-order definable in:

- $(\mathbb{Q}, <)$ (Bodirsky and Kára 2010);
- homogeneous graphs (Bodirsky, Martin, Pinsker, and Pongrácz 2019);
- countable unary structures (Bodirsky and Mottet 2018);
- \vdots

Bodirsky-Pinsker conjecture: CSPs of a large class of ω -categorical structures³ satisfy a complexity dichotomy analogous to the finite-domain one.

³First-order reducts of finitely bounded homogeneous structures.

Understanding low arity polymorphisms

Question 1

What is the minimal amount of structure in $\text{Pol}(\mathbb{B})$ when $\text{CSP}(\mathbb{B})$ is not NP-hard (due to *pp*-constructing EVERYTHING)?

- Sufficient to consider case of a (model complete) **core**:
 $\overline{\text{Aut}(\mathbb{B})} = \text{End}(\mathbb{B})$;
- We can assume $\text{Pol}(\mathbb{B})$ is **essential**:
 if $\text{Pol}(\mathbb{B})$ is NOT essential, then \mathbb{B} *pp*-interprets EVERYTHING;
- **Bottom-up approach to CSPs**:
 several complexity classifications identify the behaviours of low arity essential polymorphisms.

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Binary essential polymorphisms

Complexity classifications of ω -categorical CSPs often show that under tame assumptions \mathbb{B} has a binary essential polymorphism!

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(Bodirsky and Kára 2008):

Lemma 5. *Every essentially at least binary operation together with all permutations locally generates a binary operation that depends on both arguments.*

(Bodirsky and Kára 2010)

Lemma 10. *Let Γ be a relational structure and let R be a k -ary relation that is a union of l orbits of k -tuples of $\text{Aut}(\Gamma)$. If R is violated by a polymorphism g of Γ of arity $m \geq l$, then R is also violated by an l -ary polymorphism of Γ .*

(Bodirsky and Pinsker 2014):

LEMMA 40: *Let $f: V^k \rightarrow V$ be an essential operation. Then f generates a binary essential operation.*

(Bodirsky 2021; Mottet and Pinsker 2024):

LEMMA 6.1.29. *Let \mathcal{C} be a clone with an essential operation that contains a permutation group \mathcal{G} with the orbital extension property. Then \mathcal{C} must also contain a binary essential operation.*

PROPOSITION 23. *Let \mathbb{A} be a first-order reduct of a homogeneous structure \mathbb{B} such that \mathbb{B} has a free orbit. If $\text{Pol}(\mathbb{A})$ contains an essential function, then it contains a binary essential operation.*

(Mottet, Nagy, and Pinsker 2024)

Lemma 27. *Let \mathbb{A} be a first-order reduct of \mathbb{H} that is a model-complete core. If $\text{Pol}(\mathbb{A})$ does not have a uniformly continuous clone homomorphism to \mathcal{P} , then it contains a binary essential operation.*

Also done in:

- Bodirsky, Jonsson, and Van Pham 2017;
- Bodirsky and Mottet 2018;
- Kompatscher and Van Pham 2018;
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ISSUE: These techniques are ad-hoc!

A question of Bodirsky

Question 2 (Question 24 in Bodirsky 2021)

Suppose \mathbb{B} is an ω -categorical (model complete) core and $\text{Pol}(\mathbb{B})$ has an essential polymorphism.

Does $\text{Pol}(\mathbb{B})$ have a binary essential polymorphism?

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Counterexample: for any oligomorphic $\text{Aut}(\mathbb{B}) \curvearrowright \mathbb{B}$ with s orbits for $s \geq 3$, there is an ω -categorical structure \mathbb{B}' such that

$$\text{Pol}(\mathbb{B}') := \overline{\langle \text{Aut}(\mathbb{B}) \cup \{f\} \rangle},$$

where f is an essential s -ary orbit-semiprojection.

Why easy problems lie above binary operations

Moral answer (for the purposes of CSPs): Yes!

Theorem 16 (Finding binary operations, MP 2024)

Let $G \curvearrowright B$ be such that G is not a Boolean group acting freely on B . Suppose that $\mathcal{C} \cap \mathcal{O}^{(1)} = \overline{G}$, and

(\star) \mathcal{C} has no uniformly continuous clone homomorphism⁴ to $\mathcal{P}_{\{0,1\}}$, the clone of projections on a two-element set.

Then, \mathcal{C} contains a binary essential operation.

⁴ $\xi : \mathcal{C} \rightarrow \mathcal{P}_{\{0,1\}}$ is **uniformly continuous** if there is some finite $B' \subseteq B$ such that $f|_{B'} = g|_{B'}$ implies $\xi(f) = \xi(g)$.

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For $\mathcal{C} = \text{Pol}(\mathbb{B})$ and \mathbb{B} finite or ω -categorical:

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Why easy problems lie above binary operations

Theorem 16 (Finding binary operations, MP 2024)

Let $G \curvearrowright B$ be such that G is not a Boolean group acting freely on B . Suppose that $\mathcal{C} \cap \mathcal{O}^{(1)} = \overline{G}$, and

(\star) \mathcal{C} has no uniformly continuous clone homomorphism to $\mathcal{P}_{\{0,1\}}$, the clone of projections on a two-element set.

Then, \mathcal{C} contains a binary essential operation.

Proof idea.

Suppose by contrapositive that $\mathcal{C} \cap \mathcal{O}^{(2)} = \overline{\langle G \rangle} \cap \mathcal{O}^{(2)}$.

Then, all ternary operations in \mathcal{C} are almost minimal.

So $\mathcal{C} \cap \mathcal{O}^{(3)}$ = essentially unary operations and orbit-semiprojections.

These will only satisfy trivial identities, which is sufficient to build a uniformly continuous homomorphism to $\mathcal{P}_{\{0,1\}}$. □

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Thank you!






A brief recap:

- We classify minimal (and almost minimal) operations above arbitrary permutation groups;
- We get fewer types than those of Rosenberg's theorem above the trivial group;
- We give general reasons why when \mathbb{B} is an ω -categorical (model complete) core and $\text{CSP}(\mathbb{B})$ is not NP-hard, we can find **binary essential polymorphisms**;





QR code to preprint:









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Polymorphisms

Let \mathbb{B} be a relational structure.

Definition 17 (Polymorphisms)

$f : \mathbb{B}^n \rightarrow \mathbb{B}$ is a **polymorphism** if it **preserves all relations** of \mathbb{B} :

$$\left(\begin{pmatrix} a_1^1 \\ \vdots \\ a_k^1 \end{pmatrix}, \dots, \begin{pmatrix} a_1^n \\ \vdots \\ a_k^n \end{pmatrix} \right) \in R^{\mathbb{B}} \Rightarrow \begin{pmatrix} f(a_1^1, \dots, a_1^n) \\ \vdots \\ f(a_k^1, \dots, a_k^n) \end{pmatrix} \in R^{\mathbb{B}}.$$

We call $\mathbf{Pol}(\mathbb{B})$ the set of polymorphisms of \mathbb{B} .

The **polymorphism clone** of \mathbb{B} .

- Unary polymorphism = endomorphism;
- **Projections** to one coordinate **are always polymorphisms**.

► [Back to main presentation](#)

pp-interpretations and *pp*-constructions

A ***pp*-formula** is a first-order formula consisting only of existential quantifiers, conjunctions, and atomic formulas.

Definition 18 (*pp*-interpretation, *pp*-construction)

\mathbb{B} ***pp*-interprets** \mathbb{A} if there is partial surjective $h : \mathbb{B}^d \rightarrow \mathbb{A}$ such that for every $R \subseteq \mathbb{A}^n$ that is a relation of \mathbb{A} (or \mathbb{A} , or equality on \mathbb{A}), $h^{-1}(R)$ is defined by a *pp*-formula in \mathbb{B}^{nd} .

\mathbb{B} ***pp*-constructs** \mathbb{A} if it is homomorphically equivalent to a structure that *pp*-interprets \mathbb{A} .